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Dated: 5-5-4 Signature: Carol Mitchell

Docket No.: 34650-00602USPT  
(PATENT)

2672  
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Magnus Hollström

Application No.: 09/703486

Group Art Unit: 2622

Filed: October 31, 2000

Examiner: H. Safaipour

For: MULTI-LAYER READING DEVICE

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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MAY 10 2004  
Technology Center 2200

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Fee Transmittal;
2. IDS;
3. IDS by Applicant; and
4. Acknowledgment Postcard.

Our check in the amount of \$180.00 covering the required fees is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by

Application No.: 09/703486

Docket No.: 34650-00602USPT

this firm) to our Deposit Account No. 10-0447, under Order No. 34650-00602USPT. A duplicate copy of this paper is enclosed.

Dated: May 5, 2004

Respectfully submitted,

By 

Ashley N. Moore

Registration No.: 51,667

JENKENS & GILCHRIST, A PROFESSIONAL  
CORPORATION

1445 Ross Avenue, Suite 3200

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(214) 855-4500



PTO/SB/17 (10-03)  
Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number 09/703486-Conf. #2943  
Filing Date October 31, 2000  
First Named Inventor Magnus Hollström  
Examiner Name H. Safaipour  
Art Unit 2622  
Attorney Docket No. 34650-00602USPT

Technology Center 2600

MAY 10 2004

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## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number

10-0447

Deposit Account Name

Jenkins & Gilchrist, a Professional Corporation

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below		Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	x <input type="text"/>	<input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	x <input type="text"/>	<input type="text"/>
Multiple Dependent					<input type="text"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type) Ashley N. Moore

Registration No. (Attorney/Agent) 51,667

(Complete if applicable)

Telephone (214) 965-7393

Signature

Date

5/5/04

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Dated: 5/5/2004

Signature: Carol Mitchell



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Dated: 5-5-04

Signature: Carol Mitchell

Docket No.: 34650-00602USPT  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Magnus Hollström

Application No.: 09/703486

Confirmation No.: 2943

Filed: October 31, 2000

Art Unit: 2622

For: MULTI-LAYER READING DEVICE

Examiner: H. Safaipoor

INFORMATION DISCLOSURE STATEMENT (IDS)

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

MAY 10 2004

Technology Center 2800

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing

of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Our check in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 34650-00602USPT. A duplicate copy of this paper is enclosed.

Dated: May S, 2004

Respectfully submitted,

By 

Ashley N. Moore

Registration No.: 51,667

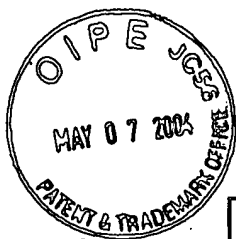
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ALTERNATIVE TO PTO/SB/08a/b (06-03)

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete If Known</b>	
				Application Number	09/703486-Conf. #2943
				Filing Date	October 31, 2000
				First Named Inventor	Magnus Hollström
				Art Unit	2622
				Examiner Name	H. Safaipour
Sheet	1	of	2	Attorney Docket Number	34650-00602USPT

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US-4,534,519	08-13-1985	Euteneuer et al.	
	A2	US-4,751,380	06-14-1988	Victor et al.	
	A3	US-4,856,077	08-08-1989	Rothfjell	
	A4	US-5,331,547	07-19-1994	Laszlo	
	A5	US-5,389,945	02-14-1995	Sheridon	
	A6	US-5,453,761	09-26-1995	Tanaka	
	A7	US-5,457,728	10-10-1995	Whiting et al.	
	A8	US-5,463,605	10-31-1995	Nishida et al.	
	A9	US-5,561,282	10-01-1996	Price et al.	
	A10	US-5,581,257	12-03-1996	Greene et al.	
	A11	US-5,596,652	01-21-1997	Piatek et al.	
	A12	US-5,612,736	03-18-1997	Vogeley et al.	
	A13	US-5,619,431	04-08-1997	Oda	
	A14	US-5,623,345	04-22-1997	Merchant et al.	
	A15	US-5,640,193	06-17-1997	Wellner	
	A16	US-5,644,628	07-01-1997	Schwarzer et al.	
	A17	US-5,657,396	08-12-1997	Rudolph et al.	
	A18	US-5,686,705	11-11-1997	Conroy et al.	
	A19	US-5,739,810	04-14-1998	Merkel	
	A20	US-5,767,496	06-16-1998	Swartz et al.	
	A2	US-5,793,301	08-11-1998	Patterson, Jr. et al.	
	A2	US-5,831,601	11-03-1998	Vogeley et al.	
	A23	US-5,838,798	11-17-1998	Stevens, III	
	A24	US-5,844,561	12-01-1998	Tanimoto et al.	
	A25	US-5,848,373	12-08-1998	DeLorme et al.	
	A26	US-5,850,077	12-15-1998	Tognazzini	
	A27	US-5,852,434	12-22-1998	Sekendur	
	A28	US-5,852,793	12-22-1998	Board et al.	
	A29	US-5,892,824	04-06-1999	Beatson et al.	
	A30	US-5,903,667	05-11-1999	Kuzunuki et al.	
	A31	US-5,990,875	11-23-1999	Bi et al.	
	A32	US-6,054,990	04-25-2000	Tran	
	A33	US-6,109,526	08-29-2000	Ohanian et al.	
	A34	US-6,118,436	09-12-2000	Kushita	
	A35	US-6,119,944	09-19-2000	Mulla et al.	
	A36	US-6,144,324	11-07-2000	Sasaki	
	A37	US-6,182,901 B1	02-06-2001	Hecht et al.	
	A38	US-6,188,392 B1	02-13-2001	O'Connor et al.	
	A39	US-6,188,404 B1	02-13-2001	Rekimoto	
	A40	US-6,208,771 B1	03-27-2001	Jared et al.	
	A41	US-6,218,964 B1	04-17-2001	Ellis	
	A42	US-6,266,295 B1	07-24-2001	Parker et al.	
	A43	US-6,236,486 B1	05-22-2001	Nocker, IV	
	A44	US-6,270,011 B1	08-07-2001	Gottfried	
	A45	US-6,307,955 B1	10-23-2001	Zank et al.	
	A46	US-6,311,042 B1	10-30-2001	DeSchrijver	
	A47	US-6,321,158 B1	11-20-2001	DeLorme et al.	
Examiner Signature				Date Considered	

DALLAS2 1036581v1 34650-00602USPT



ALTERNATIVE TO PTO/SB/08a/b (06-03)

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/703486-Conf. #2943
				Filing Date	October 31, 2000
				First Named Inventor	Magnus Hollström
				Art Unit	2622
				Examiner Name	H. Safaipour
Sheet	2	of	2	Attorney Docket Number	34650-00602USPT

	A48	US-6,326,957 B1	12-04-2001	Nathan et al.	
	A49	US-6,330,976 B1	12-18-2001	Dymetman et al.	
	A50	US-6,348,914 B1	02-19-2002	Tuli	
	A51	US-6,377,249 B1	04-23-2002	Mumford	
	A52	US-6,396,481 B1	05-28-2002	Challa et al.	
	A53	US-6,446,871 B1	09-10-2002	Buckley et al.	
	A54	US-6,456,749 B1	09-24-2002	Kasabach et al.	
	A55	US-6,462,941 B1	10-08-2002	Hulick et al.	
	A56	US-6,476,825 B1	11-05-2002	Croy et al.	
	A57	US-6,501,463 B1	12-31-2002	Dahley et al.	
	A58	US-6,548,768 B1	04-15-2003	Pettersson et al.	
	A59	US-6,577,299 B1	06-10-2003	Schiller et al.	
	A60	US-6,587,221	07-01-2003	Young	
	A61	US-6,611,259 B1	08-26-2003	Tillgren et al.	

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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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DALLAS2 1036581v1 34650-00602USPT